



PTO/SB/08B (Modified)

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/009,837
Filing Date	01/20/1998
First Named Inventor	Mills
Group Art Unit	1754 [795]
Examiner Name	Isang KAUART
Attorney Docket Number	

Sheet

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examine r Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	58	R. L. Mills, "Classical Quantum Mechanics," Physics Essays, Vol. 16, No. 4, December, (2003), pp. 433-438. (Web Publication Date: May 23, 2002.)	
	60	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Synthesis of HDLC Films from Solid Carbon," Journal of Materials Science, in press. (Web Publication Date: May 3, 2002.)	
	77	J. Phillips, R. L. Mills, X. Chen, "Water Bath Calorimetric Study of Excess Heat in Resonance Transfer Plasmas," J. Appl. Phys., Vol. 96, No. 6, (2004) 3095-3102. (Web Publication Date: June 16, 2003.)	
	80	R. L. Mills, "The Fallacy of Feynman's Argument on the Stability of the Hydrogen Atom According to Quantum Mechanics," Annales de la Fondation Louis de Broglie, submitted. (Web Publication Date: Jan. 27, 2003.)	
	81	R. Mills, P. Ray, B. Dhandapani, W. Good, P. Jansson, M. Nansteel, J. He, A. Voigt, "Spectroscopic and NMR Identification of Novel Hydride Ions in Fractional Quantum Energy States Formed by an Exothermic Reaction of Atomic Hydrogen with Certain Catalysts," European Physical Journal: Applied Physics, 28, (2004), 83-104. (Web Publication Date: Feb. 24, 2003.)	
OK	88	R. Mills, J. Sankar, A. Voigt, J. He, P. Ray, B. Dhandapani, "Role of Atomic Hydrogen Density and Energy in Low Power CVD Synthesis of Diamond Films," Thin Solid Films, 478, (2005) 77-90. (Web Publication Date: Dec. 22, 2003.)	
	94	R. L. Mills, "The Nature of the Chemical Bond Revisited and an Alternative Maxwellian Approach," Physics Essays, in press. (Web Publication Date: Aug. 6, 2003.)	
	96	J. J. Phillips, C. K. Chen, R. L. Mills, "Evidence of the Production of Hot Hydrogen Atoms in RF Plasmas by Catalytic Reactions Between Hydrogen and Oxygen Species," Spectrochimica Acta Part B: Atomic Spectroscopy, submitted. (Web Publication Date: Sept. 12, 2003.)	
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	98	R. L. Mills, Y. Lu, J. He, M. Nansteel, P. Ray, X. Chen, A. Voigt, B. Dhandapani, "Spectral Identification of New States of Hydrogen," New Journal of Chemistry, submitted. (Web Publication Date: Nov. 18, 2003.)	

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		Group Art Unit	1754 1745	
Examiner Name	Teang KALAFUT			
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OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
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	100	R. Mills, B. Dhandapani, J. He, "Highly Stable Amorphous Silicon Hydride from a Helium Plasma Reaction," Materials Chemistry and Physics, submitted. (Web Publication Date: Nov. 17, 2003.)	
	101	R. L. Mills, Y. Lu, M. Nansteel, J. He, A. Voigt, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," Division of Fuel Chemistry, Session: Chemistry of Solid, Liquid, and Gaseous Fuels, 227th American Chemical Society National Meeting, March 28-April 1, 2004, Anaheim, CA.	
	102	R. L. Mills, "Exact Classical Quantum Mechanical Solutions for One- through Twenty-Electron Atoms," Phys. Essays, submitted. (Web Publication Date: April 22, 2004.)	
	103	R. L. Mills, Dhandapani, W. Good, J. He, "New States of Hydrogen Isolated from K ₂ CO ₃ Electrolysis Gases," Electrochim. Acta, submitted. (Web Publication Date: April 28, 2004.)	
	104	R. L. Mills, Y. Lu, M. Nansteel, J. He, A. Voigt, W. Good, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," Division of Fuel Chemistry, Session: Advances in Hydrogen Energy, 228th American Chemical Society National Meeting, August 22-26, 2004, Philadelphia, PA.	
OK	113	R. Mills, "Physical Solutions of the Nature of the Atom, Photon, and Their Interactions to Form Excited and Predicted Hydrino States", New Journal of Physics, submitted.	
OK	114	R. Mills, K. Akhtar, B. Dhandapani, "Tests of Features of Field-Acceleration Models for the Extraordinary Selective H Balmer α Broadening in Certain Hydrogen Mixed Plasmas," Journal of Applied Physics, submitted. (web publication June 24, 2005, www.blacklightpower.com).	


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	105	J. Phillips, C. K. Chen, R. L. Mills, "Evidence of Catalytic Production of Hot Hydrogen in RF-Generated Hydrogen/Argon Plasmas," J. Appl. Physics, submitted. (Web Publication Date: September 7, 2004.)	
	106	R. L. Mills, "Exact Classical Quantum Mechanical Solution for Atomic Helium which Predicts Conjugate Parameters from a Unique Solution for the First Time," Foundations of Science, submitted. (Web Publication Date: October 28, 2004.)	
	107	R. L. Mills, "Maxwell's Equations and QED: Which is Fact and Which is Fiction," Physica Scripta, submitted. (Web Publication Date: October 28, 2004.)	
OK	108	R. L. Mills, J. He, M. Nansteel, B. Dhandapani, "Catalysis of Atomic Hydrogen to New Hydrides as a New Power Source," International Journal of Global Energy Issues (IJGEI). Special Edition in Energy System, submitted. (Web Publication Date: April 4, 2005.)	
OK	109	R. L. Mills, M. Nansteel, J. He, B. Dhandapani, "Low-Voltage EUV and Visible Light Source Due to Catalysis of Atomic Hydrogen," J. Plasma Physics, submitted. (Web Publication Date: April 15, 2005.)	
OK	110	R. L. Mills, J. He, Z. Chang, W. Good, Y. Lu, B. Dhandapani, "Catalysis of Atomic Hydrogen to Novel Hydrides as a New Power Source," Prepr. Pap.—Am. Chem. Soc., Div. Fuel Chem. 2005, 50(2). (Web Publication Date: April 22, 2005.)	
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				Group Art Unit	4754 / 1745	
Examiner Name	Isang KALANT					
Sheet	4	of	13	Attorney Docket Number	9113-23US	

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OK		CRITCHLEY <i>et al.</i> , "Energy shifts and forbidden transitions in H ₂ due to electronic g/u symmetry breaking", <i>Molecular Physics</i> , 2003, Vol. 101, Nos. 4-5, pp. 651-661, Taylor & Francis Ltd. (No MONTH)	
OK		GAMBUS <i>et al.</i> , "Spectroscopic Study of Low-Pressure Water Plasmas and Their Reactions with Liquid Hydrocarbons", <i>Energy & Fuels</i> , 2002, 16, pp. 172-176, American Chemical Society (No MONTH)	
OK		CVETANOVIC <i>et al.</i> , "Excessive Balmer line broadening in a plane cathode abnormal glow discharge in hydrogen", <i>Journal of Applied Physics</i> , 97, 033302 (2005), American Institute of Physics (No MONTH)	
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OK		MURAKAMI <i>et al.</i> , "Chemisorption of hydrogen into a graphite-potassium intercalation compound C ₈ K studied by means of position annihilation", <i>J. Chem. Phys.</i> , 62 (10), May 15, 1995, American Institute of Physics	
OK		AHN, "Hydrogen Storage in Metal-Modified Single-Walled Carbon Nanotubes", Division of Engineering and Applied Science, California Institute of Technology, September 15, 2001	
OK		DUAN <i>et al.</i> , "Numerical calculation of energies of some excited states in a helium atom", <i>Eur. Phys. J., D</i> 19, (2002), pp. 9-12, Societa Italiana di Fisica, Springer-Verlag 2002 (No MONTH)	
OK		NIXON <i>et al.</i> , "Formation and structure of the potassium graphites", <i>Brit. J. Appl. Phys., Ser. 2</i> , Vol. 1, pp. 291-299, Great Britain, 2002 (No MONTH)	
OK		ZELLINGER, "Experiment and the foundations of quantum physics", <i>Reviews of Modern Physics</i> , Vol 71, No. 2, pp. S288-S297, Centenary 1999, The American Physical Society (No MONTH)	

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		Group Art Unit	4754 1745		
Examiner Name	Tsang KMAET				
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OK		COTTON <i>et al.</i> , "Complexes of Cyclic 2-Oxacarbenes. I. A Spontaneous Cyclization to Form a Complex of 2-Oxacyclopentylidene", <i>Journal of the American Chemical Society</i> , 93:11, pp. 2672-2676, June 2, 1971	
OK		LINDSAY <i>et al.</i> , "A remeasurement of the 2.4 μ m spectrum of $J = H_2$ pairs in a parahydrogen crystal", <i>Journal of Molecular Spectroscopy</i> , 218. Pp. 131-133, 2003 (NO MONTH)	
OK		JUAREZ <i>et al.</i> , "Photoelectron angular distributions of rotationally resolved states in para- H_2^+ : A closer to the dynamics of molecular photoionisation", The University of Manchester Atomic, Molecular & Laser Manipulation Group, pp.1-5 (NO DATE)	
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OK		SMITH, "Infrared spectra of BO_2^- in the alkali halides-L. Potassium and rubidium halides", <i>Spectrochimica Acta</i> , Vol. 30A, pp. 875-882, Pergamon Press, 1974 (NO MONTH)	
OK		LEITCH <i>et al.</i> , "Raman Spectroscopy of Hydrogen Molecules in Crystalline Silicon", <i>Physical Review Letters</i> , 81:2, pp. 421-424, July 13, 1998, The American Physical Society	
OK		CHEN <i>et al.</i> , "Key to Understanding Interstitial H_2 in Si", <i>Physical Review Letters</i> , 88:10, pp. 105507-1 - 105507-4, March 11, 2002, The American Physical Society	
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OK		STAVOLA <i>et al.</i> , "Interstitial H_2 in Si: are all problems solved?", <i>Physica B</i> , pp. 58-66, 2003-Elsevier B.V. (2003/NO MONTH)	

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Sheet	6	of	13	Attorney Docket Number	9113-23US


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OK		MILLS <i>et al.</i> , "Catalysis of Atomic Hydrogen to Novel Hydrides as a New Power Source", pp. 1-8, BlackLight Power, Inc. (No Date)	
OK		DECIUS <i>et al.</i> , "Force Constants of the Metaborate Ion in Alkali Halides", <i>The Journal of Chemical Physics</i> , 56:10, pp. 5189-5190, May 15, 1972	
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OK		SMITH, "Anharmonic force field of the metaborate ion in alkali halides", <i>The Journal of Chemical physics</i> , 58:11, pp. 4776-4778, June 1, 1973	
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OK		SCHOENFELDER <i>et al.</i> , "Kinetics of Thermal Decomposition of TiH ₂ ", <i>J. Vac. Sci. Technol.</i> , 10:5, pp. 862-870, Sept./Oct. 1973	
OK		"Emission Characteristics for Scandium Type Dispenser Cathodes", HeatWave Labs, Inc., TB-119, May 24, 2001, Spectra-Mat, Inc.	
OK		"Emission Characteristics of 'M Type' Dispenser Cathodes", HeatWave Labs, Inc., TB-117, May 24, 2001, Spectra-Mat, Inc.	
OK		"Practical Aspects of Modern Dispenser Cathodes", <i>Microwave Journal</i> , September, 1979	

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		Group Art Unit	1754 1785
Examiner Name	Jeang KALABET		
Sheet 7	of 13	Attorney Docket Number	9113-23US

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OK		"Standard Series Barium Tungsten Dispenser Cathodes", HeatWave Labs, Inc., TB-198, July 29, 2002, Spectra-Mat, Inc.	
OK		ABATE <i>et al.</i> , "Optimization and enhancement of H ⁺ ions in a magnetized sheet plasma", <i>Review of Scientific Instruments</i> , 71:10, pp. 3689-3695, October 2000, American Institute of Physics	
OK		CHABERT <i>et al.</i> , "On the influence of the gas velocity on dissociation degree and gas temperature in a flowing microwave hydrogen discharge", <i>Journal of Applied Physics</i> , 84:1, pp. 161-167, July 1, 1999, American Institute of Physics	
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OK		RADOVANOV <i>et al.</i> , "Time-resolved Balmer-alpha emission from fast hydrogen atoms in low pressure, radio-frequency discharges in hydrogen", <i>Appl. Phys. Lett.</i> , 66:20, pp. 2637-2639, May 15, 1995	
OK		DJUROVIC <i>et al.</i> , "Hydrogen Balmer alpha line shapes for hydrogen-argon mixtures in a low-pressure rf discharge", <i>J. Appl. Phys.</i> , 74:11, pp. 6558-6565, December 1, 1993, American Institute of Physics	
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OK		BENESCH <i>et al.</i> , "Line shapes of atomic hydrogen in hollow-cathode discharges", <i>Optics Letters</i> , 9:8, pp. 338-340, August 1984, Optical Society of America	
OK		AYERS, <i>et al.</i> , "Shapes of atomic-hydrogen lines produced at a cathode surface", <i>Physical Review A</i> , 37:1, pp. 194-200, January 1, 1988, The American Physical Society	
OK		ADAMOV, <i>et al.</i> , "Doppler Spectroscopy of Hydrogen and Deuterium Balmer Alpha Line in an Abnormal Glow Discharge", <i>IEEE Transactions on Plasma Science</i> , 31:3, pp. 444-454, June 3, 2003	
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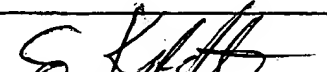
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SK		JOVICEVIC <i>et al.</i> , "Excessive Balmer line broadcasting in microwave-induced discharges", <i>Journal of Applied Physics</i> , 95:1, pp. 24-29, January 1, 2004, American Institute of Physics	
SK		DJUROVIC <i>et al.</i> , "Hydrogen Balmer alpha line shapes for hydrogen-argon mixtures in a low-pressure rf discharge", <i>J. Appl. Phys.</i> , 74:11, pp. 6558-6565, December 1, 1993, American Institute of Physics	
SK		MAYO, "Thermalization and Energy Distribution in Cold Laboratory Plasmas Comments on the Possibility of Mono-Energetic Species", April 20, 2004	
SK		VIDENOVIC <i>et al.</i> , "Spectroscopic investigations of a cathode fall region of the Grimm-type glow discharge", <i>Spectrochimica Acta Part B</i> , 51, pp. 1707-1731, 1996	(MAYO)
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SK		KONJEVIC <i>et al.</i> , "Emission Spectroscopy of the Cathode Fall Region of an Analytical Glow", <i>J. Phys. IV France</i> , 7, pp. C4-247-C4-258, October 1997	
SK		LIFSHITZ <i>et al.</i> , "Resonance absorption measurements of atom concentrations in reacting gas mixtures. I. Shapes of H and D Lyman- α lines from microwave sources", <i>J. Chem. Phys.</i> , 70:12, pp. 5607-5613, June 15, 1979, American Institute of Physics	
SK		KURAICA <i>et al.</i> , "Line shapes of atomic hydrogen in a plane--cathode abnormal glow discharge", <i>Physical Review A</i> , 46:7, pp. 4429-4432, October 1, 1992, The American Physical Society	
SK		KURAICA <i>et al.</i> , "On the Atomic Hydrogen Line Shapes in a Plane-Cathode Obstructed Glow Discharge", <i>Physica Scripta.</i> , 50, pp. 487-492, 1994	

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SK		OLTHOFF <i>et al.</i> , "Studies of Ion Kinetic-Energy Distributions in the Gaseous Electronics Conference RF Reference Cell", <i>Journal of Research of the National Institute of Standards and Technology</i> , 100:4, pp. 383-400, July-August 1995	
SK		RADOVANOV <i>et al.</i> , "Ion kinetic-energy distributions and Balmer-alpha (H_{α}) excitation in Ar- H_2 radio-frequency discharges", <i>J. Appl. Phys.</i> , 78:2, pp. 746-756, July 15, 1995	
SK		DJUROVIC <i>et al.</i> , "Hydrogen Balmer alpha line shapes for hydrogen-argon mixtures in a low-pressure rf discharge", <i>J. Appl. Phys.</i> , 74:11, pp. 6558-6565, December 1, 1993, American institute of Physics	
SK		ALEXEFF <i>et al.</i> , "Collisionless Ion-Wave Propagation and the Determination of the Compressions Coefficient of Plasma Electrons", <i>Physical Review Letters</i> , 15:7, pp. 286-288, August 16, 1999	
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Examiner Signature	<i>[Signature]</i>	Date Considered	5/2/06
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number	09/009,837	
				Filing Date	01/20/1998	
				First Named Inventor	Mills	
				Group Art Unit	1754 1745	
Examiner Name	Tseng KALAFU					
Sheet	10	of	12	Attorney Docket Number	9113-23US	

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	- Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
OK		D. Luggenhölscher, U. Czarnetzki, and H.F. Dobele Institut für Laser- und Plasmaphysik, Universität Essen, Germany, "Investigations on Electric Field Distributions in a Microwave Discharge in Hydrogen" (NO DATE)	
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OK		J M Luque, M D Calzada and M Saez, "Experimental research into the influence of ion dynamics when measuring the electron density from the Stark broadening of the H α and H β lines." (NO DATE)	
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Sheet	11	of	12	Attorney Docket Number	9113-23US

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OK		E. Kovacevic, I. Stefanovic, J. Berndt, and J. Winter, "The Dynamic Response of the Plasma on the Dust Formation in Ar/C ² H ² Rf Discharges." < http://www.rcpig.uni-greifswald.de/proceedings/data/kovacevic-1 >	
		N. Cveticanovic, m. M. Kuraica, N. Konjevic, "Excessive Balmer Line Broadening in a Plane Cathode Abnormal Glow Discharge in Hydrogen", J. Appl. Phys. 97, 033302 (2005)	1492/0476 cite see PAGE 9
OK		E. U. Condon, Ph. D., G. H. Shortley, Ph. D., "The Theory of Atomic Spectra", Cambridge, 1967, pp.45-69, and 112-147. (NO MONTH)	
OK		Ben Best, "The Copenhagen Interpretation of Quantum Mechanics", < http://www.benbest.com/science/quantum.html > (NO DATE)	
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OK		Physics 200-04 Course, "Pauli Spin Matrices", < http://axion.physics.ubc.ca/200-04/paulispin.pdf > (NO DATE)	
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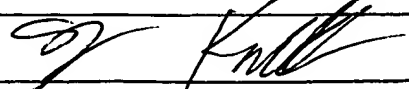
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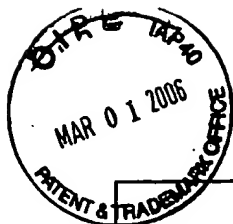
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Examiner Name	Tsang KALAU		
Sheet 12	of 13	Attorney Docket Number	9113-23US

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OK		Quantum Physics 301, Paradoxes and Interpretation", < http://www.teach.phy.bris.ac.uk/Level30100/CourseMaterials/paradoxes.pdf > (No Date)	
OK		The Internet Encyclopedia of Philosophy, "Logical Positivism" < http://iep.utm.edu/logpos.htm > (No Date)	
		H. G. Kuhn, F. R. S., "Atomic Spectra", Longmans, Green & Co., Ltd., London/Harlow 1969 (2 nd ed.).	
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OK		Eric Krieg, "Hydrinos: A State Below the Ground State" http://www.freeenergies.org/bl/bwl/zhydrino/hydrino.htm (No Date)	
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		Mills, R., "Physical Solutions of the Nature of the Atom, Photon, and Their Interactions to Form Excited and Predicted Hydrino States," (web publication June 9, 2004 at www.blacklightpower.com)	

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PTO/SB/08B (Modified)

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		Group Art Unit	1745		
Sheet	13		13	Examiner Name	Teang Foster, Susy KALANET
				Attorney Docket Number	9113-23US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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	100	R. Mills, B. Dhandapani, J. He, "Highly Stable Amorphous Silicon Hydride from a Helium Plasma Reaction," Materials Chemistry and Physics, 94/2-3, 298-307. (Web Publication Date: Nov. 17, 2003.)	A C R E A T I V E	
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	94	R. L. Mills, "The Nature of the Chemical Bond Revisited and an Alternative Maxwell Approach," Physics Essays, Vol. 17, (2004), 342-389. (Web Publication Date: Aug. 6, 2004.)		
	96	J. Phillips, C.K. Chen, R. L. Mills, "Evidence of the Production of Hot Hydrogen Atoms in RF Plasmas by Catalytic Reactions Between Hydrogen and Oxygen Species," J. Plasma Phys., submitted. (Web Publication Date: Sept. 12, 2003.)		
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